

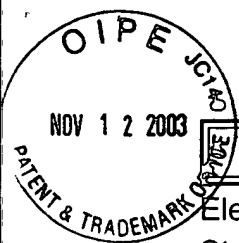


Electronic Filing System (EFS) Data
Electronic Patent Application Submission
USPTO Use Only

EFS ID: 50502
Application ID: 09862593
Title of Invention: METHOD FOR MAKING
INTEGRATED OPTICAL
WAVEGUIDES AND
MICROMACHINED FEATURES
First Named Inventor: Dan Steinberg
Domestic/Foreign Application: Domestic Application
Filing Date: 2001-05-23
Effective Receipt Date: 2003-11-12
Submission Type: Information Disclosure
Statement
Filing Type:
Confirmation number: 8379
Attorney Docket Number: Shipley 03-16
Total Fees Authorized: 180.0
Payment Category: Deposit Account
Deposit Account Number: 41406
Deposit Account Name: Niels Haun
Access Code: ****
RAM Payment Status: RAM success
RAM User ID: EFSPROD
RAM Accounting Date: 2003-11-12
RAM Sequence Number: 36




Digital Certificate Holder: cn=Niels Haun,ou=Registered Attorneys,ou=Patent and Trademark
Office,ou=Department of Commerce,o=U.S. Government,c=US
Certificate Message Digest: 2b14a9b4a99b6c5804d530d4c7f08a5716d57d46



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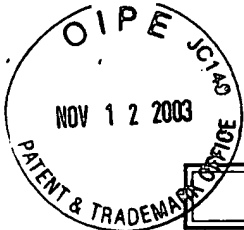
Electronic Version v1.1

Stylesheet Version v1.1.0


| Title of Invention | METHOD FOR MAKING INTEGRATED OPTICAL WAVEGUIDES AND MICROMACHINED FEATURES | | | | | | | |
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| Confirmation Number: 8379 | | | | | | | | |
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| <p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p> | | | | | | | | |
| <table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Niels Haun Registered Number: 48,488</td><td>/nh/</td><td>Attorney</td></tr></tbody></table> | | | Submitted by: | Elec. Sign. | Sign. Capacity | Niels Haun Registered Number: 48,488 | /nh/ | Attorney |
| Submitted by: | Elec. Sign. | Sign. Capacity | | | | | | |
| Niels Haun Registered Number: 48,488 | /nh/ | Attorney | | | | | | |
| <table><thead><tr><th>Documents being submitted</th><th>Files</th></tr></thead><tbody><tr><td>us-fee-sheet</td><td>03-16-usfees.xml us-fee-sheet.xml us-fee-sheet.dtd</td></tr><tr><td>us-ids</td><td>03-16-usidst.xml us-ids.dtd us-ids.xml</td></tr></tbody></table> | | | Documents being submitted | Files | us-fee-sheet | 03-16-usfees.xml us-fee-sheet.xml us-fee-sheet.dtd | us-ids | 03-16-usidst.xml us-ids.dtd us-ids.xml |
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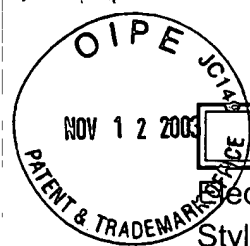
Comments

In the event a fee is required the Commissioner is authorized to charge any underpayment or credit any overpayment to the account of the undersigned attorneys, Account No. 04-1406.

**FEE TRANSMITTAL**

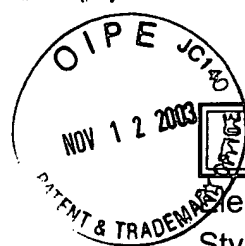
Electronic Version v08
Stylesheet Version v08.0

| Title of Invention | METHOD FOR MAKING INTEGRATED OPTICAL WAVEGUIDES AND MICROMACHINED FEATURES | | | | | | | | | | |
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| Attorney Docket Number: Shipley 03-16 | | | | | | | | | | | |
| Art Unit: 1756 | | | | | | | | | | | |
| Examiner: Kripa Sagar | | | | | | | | | | | |
| TOTAL FEE AUTHORIZED \$180 | | | | | | | | | | | |
| Patent fees are subject to annual revisions on or about October 1st of each year. | | | | | | | | | | | |
| BASIC FILING FEE | | | | | | | | | | | |
| <table border="1"><thead><tr><th>Fee Description</th><th>Fee Code</th><th>Amount \$</th><th>Fee Paid \$</th></tr></thead><tbody><tr><td>Submission Of Information Disclosure Stmt Fee</td><td>1806</td><td>180</td><td>180</td></tr></tbody></table> | | | | Fee Description | Fee Code | Amount \$ | Fee Paid \$ | Submission Of Information Disclosure Stmt Fee | 1806 | 180 | 180 |
| Fee Description | Fee Code | Amount \$ | Fee Paid \$ | | | | | | | | |
| Submission Of Information Disclosure Stmt Fee | 1806 | 180 | 180 | | | | | | | | |
| AUTHORIZED BILLING INFORMATION | | | | | | | | | | | |
| The commissioner is hereby authorized to charge indicated fees and credit any overpayments to: | | | | | | | | | | | |
| Deposit account number: 041406 | | | | | | | | | | | |
| Access Code **** | | | | | | | | | | | |
| Deposit name: Dann Dorfman Herrell and Skillman, P.C. | | | | | | | | | | | |
| Deposit authorized name: Niels Haun | | | | | | | | | | | |
| Signature: /nh/ | | | | | | | | | | | |
| Date (YYYYMMDD): 2003-11-11 | | | | | | | | | | | |
| Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17. | | | | | | | | | | | |

**FEE TRANSMITTAL**


Electronic Version v08
Stylesheet Version v08.0

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| Signature: /nh/ | | | | | | | | | | | |
| Date (YYYYMMDD): 2003-11-11 | | | | | | | | | | | |
| Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17. | | | | | | | | | | | |



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

| Title of Invention | METHOD FOR MAKING INTEGRATED OPTICAL WAVEGUIDES AND MICROMACHINED FEATURES | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|--|-------------|------------|------------------|------|-------|---------------|----------|----------|------|-----------|------|-------|----------|--|---|-------------|------------|------------------|--|--|--|--|---|-------------|------------|------------------|--|--|--|
| <p>Application Number: 09/862593 </p> <p>Confirmation Number: 8379</p> <p>First Named Applicant: Dan Steinberg</p> <p>Attorney Docket Number: Shipley 03-16</p> <p>Art Unit: 1756</p> <p>Examiner: Kripa Sagar</p> <p>Search string: (20020012885 or 20020031711).pn.</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <h3>US Published Applications</h3> <p>Note: Applicant is not required to submit a paper copy of cited US Published Applications</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Pub. No.</th><th>Date</th><th>Applicant</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>20020012885</td><td>2002-01-31</td><td>Steinberg et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>20020031711</td><td>2002-03-14</td><td>Steinberg et al.</td><td></td><td></td><td></td></tr></tbody></table> | | | | | | | init | Cite.No. | Pub. No. | Date | Applicant | Kind | Class | Subclass | | 1 | 20020012885 | 2002-01-31 | Steinberg et al. | | | | | 2 | 20020031711 | 2002-03-14 | Steinberg et al. | | | |
| init | Cite.No. | Pub. No. | Date | Applicant | Kind | Class | Subclass | | | | | | | | | | | | | | | | | | | | | | | |
| | 1 | 20020012885 | 2002-01-31 | Steinberg et al. | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 2 | 20020031711 | 2002-03-14 | Steinberg et al. | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <h3>Remarks</h3> <p>Note: Remarks are not for responding to an office action.</p> <p>In the event a fee is required the Commissioner is authorized to charge any underpayment or credit any overpayment to the account of the undersigned attorneys, Account No. 04-1406.</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <h3>Signature</h3> <table border="1"><tr><td>Examiner Name</td><td>Date</td></tr><tr><td></td><td></td></tr></table> | | | | | | | Examiner Name | Date | | | | | | | | | | | | | | | | | | | | | | |
| Examiner Name | Date | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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